

Office of the City Auditor

Fiscal Year 2020 Quarter 1 Update:
October 1, 2019 – December 31, 2019

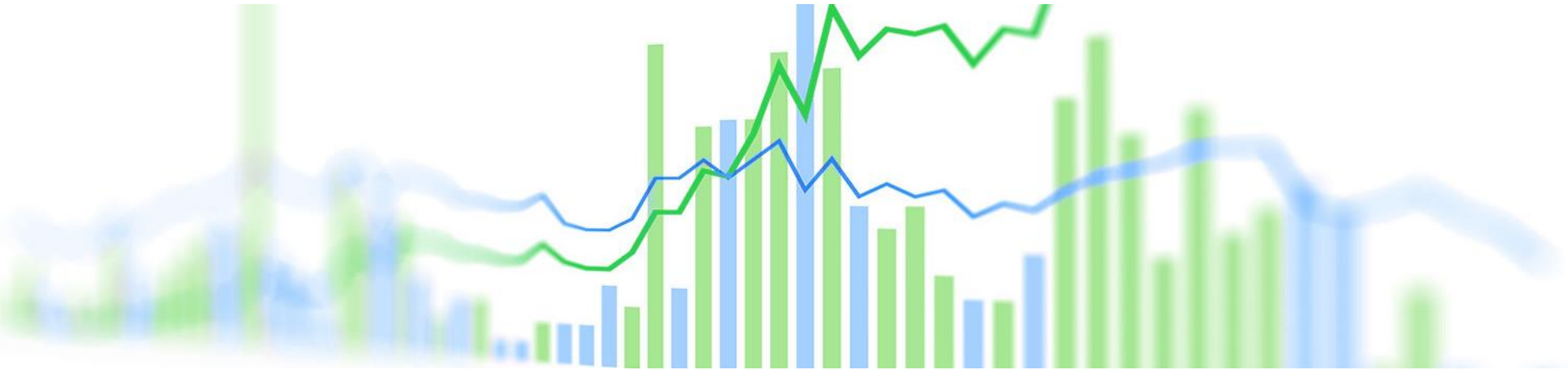


January 14, 2020
Mark S. Swann, City Auditor

Government Performance & Financial Management Committee



Overview



2

Reports Issued

83%

Management Agreement to Recommendations

16

Projects in Progress

Reports Issued

[Audit of the City of Dallas' Purchasing and Travel Cards](#)

Objective:

The audit objective was to evaluate controls and compliance with the City's Purchasing and Travel Cards policies and procedures.

What We Found:

The Office of Procurement Services should strengthen the monitoring and management of the Purchasing and Travel Cards Program by developing program monitoring procedures and improving program management.

[Audit of the Dallas Police Department's Complaint Process](#)

Objectives:

The objectives of the audit were to evaluate whether: (1) the Dallas Police Department's complaint process is accessible; (2) internal and external complaints are processed consistently; and, (3) appropriate and consistent corrective actions are taken.

What We Found:

There are opportunities for the Dallas Police Department to improve in the following areas: (1) ensure all complaints are accepted and accounted for; (2) remove unnecessary obstacles to reporting allegations of police misconduct; and, (3) ensure officer accountability is consistent.

Investigative Services

Fraud, Waste, and Abuse Hotline Alerts	
Received	Closed
31	38*

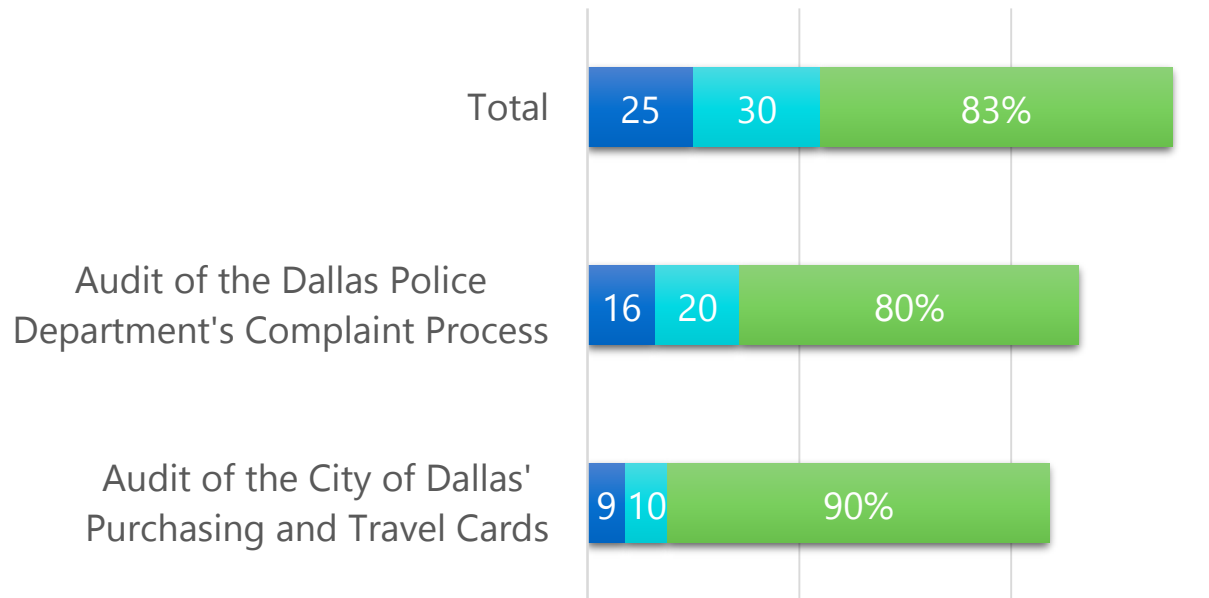
In Quarter 1, Investigative Services closed 38 complaints. Of those closed, 5 were substantiated. Of the substantiated complaints, 4 resulted in corrective actions, including:

- Amendments to contracts.
- Violation forwarded to proper City Department.
- Disciplinary action.
- Increase in internal controls.
- Increase in separation of duties.

***Note:** The number of closed complaints exceeds the number of received, as there were pending cases in queue from the prior fiscal year.

Management Agreement

Summary of the percentage of recommendations management agreed-to per audit.



- # of Recommendations - Agreed
- Total # Recommendations
- Agreement %

Projects in Progress

Projects in progress include:

- ✓ 9 carry-over audits from the Fiscal Year 2019 Audit Plan.
- ✓ 7 projects from the Fiscal Year 2020 Audit Plan.

Audits on the horizon for release in Quarter 2 include:

- Audit of the TexasCityServices, LLC Contract
- Audit of Security of Online Payments
- Audit of Park Maintenance and Safety
- Audit of Library Facility Planning
- Special Audit of the Former Mayor and City Council Members
- Audit of Open Records



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